



## AMERICAN RIVERS- NOAA COMMUNITY-BASED RESTORATION PROGRAM PARTNERSHIP



### APPLICATION FOR FINANCIAL SUPPORT

In addition to providing basic information about the applicant and proposed project, the primary purpose of the application is to obtain adequate information to evaluate four priority aspects of the project

- 1) Measurable restoration of anadromous fish habitat;
- 2) Technical feasibility;
- 3) Benefits provided to the local community and;
- 4) Financial clarity and strength.

Please use the following outline for your proposal. There is no page limitation for proposals, though we strongly suggest keeping the length for sections I- IV to 1-2 pages and sections V-VI to 3-5 pages. Please review the funding guidelines for more information. We strongly recommend and prefer that you submit your proposal in electronic format (e.g. by email or on CD-ROM). If necessary to send hard copy attachments by regular mail, these must be postmarked no later than November 1, 2005.

#### APPLICATION/PROJECT TITLE →

#### **I.     *APPLICANT INFORMATION***

- A. Organization (to be named as grantee)
- B. Address of organization
- C. Authorized Grant Signatory
- D. Phone
- E. Fax
- F. Email
- G. Submission date
- H. Tax status (e.g., non-profit, university)
- I. Federal Tax ID #
- J. Organization web page address

#### **II.    *PROJECT CONTACT***

- A. Project officer
- B. Title
- C. Address of contact (if different from above)
- D. Phone (if different from above)
- E. Fax (if different from above)
- F. Email (if different from above)
- G. Contact web page address (if different from above)

### **III. *PROJECT INFORMATION***<sup>1</sup>

- A. Type of Fish Passage: Dam/Culvert Removal, Fish Ladder, Rock Ramp, Nature-like Bypass Channel
- B. Design or construction<sup>2</sup>
- C. Amount of funding requested
- D. Project name
- E. Owner of dam
- F. Watershed/River(s) affected
- G. Project location (city, state, county)
- H. Project start date (mm/yy)
- I. Project end date (mm/yy)
- J. Longitude/latitude (if known)
- K. Congressional district and member name (if known)
- L. Name and contact information of elected state government official(s)
- M. Name and contact information of elected local government official
- N. Name and address of local newspaper
- O. The location and distance in stream miles to all upstream<sup>3</sup> river structures and whether each structure represents an insignificant, partial, or total barrier to fish passage.
- P. The location and distance to all downstream river structures and whether each structure represents an insignificant, partial, or total barrier to fish passage.
- Q. Historic natural distribution of anadromous fish within the stream system
- R. Names and current distribution of anadromous fishes benefiting from project within the stream system
- S. Names and contact information for project partners (if any)

### **IV. *CONCISE PROJECT SUMMARY***

Include as part of the summary a project goal statement that describes the intended project outcome. Entire summaries should be no more than two paragraphs in length.

Examples:

*The project will result in the re-establishment of fish passage.*

*The project will result in the increase in abundance of spawning redds.*

### **V. *PROJECT DESCRIPTION AND NEED***

- A. Describe the anticipated project benefits, including how your project will successfully restore any or all of the following: anadromous fish habitat (quantify if possible), access to existing anadromous fish habitat (quantify if possible), and natural riverine functions.
- B. Briefly explain why your approach (dam removal or fishway construction) is the correct approach, based on ecological, social, economic and engineering considerations.
- C. Provide a Scope of Work detailing the activities associated with project implementation. (For study applications, provide a Scope of Work describing the study activities to be

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<sup>1</sup> Acceptable projects include: (1) Fish passage improvements (e.g., dam removal, fish ladders, or culvert removal/replacement); and (2) Preliminary analysis essential to development of the project (e.g., engineering design, sediment analysis.) A limited number of proposals submitted under the second category will receive funding. The Partnership will consider inclusion of funds for salaries and/or travel that are directly related to completion of the project as part of a restoration grant.

<sup>2</sup> Funding will NOT be granted for a construction project before design plans are complete or near complete.

<sup>3</sup> e.g. dams, weirs, culverts, road crossings, other flow obstructions, etc.

conducted and anticipated deliverables)

- D. Identify any possible short- or long-term negative impacts to the river system as a result of your project, and how you will minimize them.
- E. Describe how the project relates to priority stream or river issues in the local watershed plan (if there is one).
- F. Describe community support/attitude about the project and the degree to which they have been involved in decision-making
- G. Describe the opportunities/likelihood for public involvement (e.g., hands-on volunteering) and outreach/education offered by the project.

(For study applications, answer all of the above questions to the best of your ability—where appropriate, discuss potential outcomes in the event that the project moves past the study phase.)

**\*\*The following one (1) question applies to design projects only. \*\***

- H. Describe the type and amount of preliminary work that has been conducted to prepare for the proposed design study. (e.g. Basic Stream Assessment, etc.)

**\*\*The following three (3) questions apply to construction projects only. \*\***

- I. Identify the type and duration of any long term management provided for the project (e.g., maintenance for fish passage facilities)
- J. To assess the impacts and/or effectiveness of the project, provide at least two objective statements related to the project goal; one each for *structural* and *functional* elements of the project (should be realistic and measurable).

Objective statements are aimed at specifically defining quantifiable targets during the awarded project period. At least one structural and one functional objective should be identified. A structural objective focuses on the DISTRIBUTION, ABUNDANCE AND PHYSICAL CONDITION of organisms or components of the environment; a physical aspect of individual components of a restoration effort. A functional objective focuses on aspects of the GROWTH AND RESPONSE of populations or complexes of the environment; related to a response or action by a population or system.

An objective statement should include three elements, 1) the action or desired result, 2), a numerical target, and 3) the timing to reach the target.

Structural Examples (“a change in how something looks”):

*Re-open fish passage to at least 3.0 miles of spawning habitat by 2006.*

*Reduce vertical jump height from 4 feet to 0 feet at the outlet of a fish passage barrier by 2006.*

Functional Examples (“a change in what the habitat/population does”):

*Re-establish the return of alewife 1.0 mile upstream from barrier removal by 2006.*

*Increase the number of spawning redds to >0 upstream from barrier removal by 2006.*

- K. Describe the monitoring techniques that will be used, as related to the goals and objective statements listed above.

## VI. **REQUIRED PERMITS & ENVIRONMENTAL COMPLIANCE**

A. Permits/Approvals required (include all applicable federal, state, tribal, and local permits/approvals)

For each one, please provide the following:

1. Regulatory contact (*name, title, phone*)
2. Status (*e.g., Obtained? Application filed? When anticipate obtaining approval?*)

B. Has the State Historic Preservation Officer been consulted on the project?

Provide the contact (*name, title, phone*) and historical status of the project

C. NEPA Compliance Documentation:

(Since these funds are federal funds through NOAA, NOAA must analyze the potential environmental impacts, as required by the National Environmental Policy Act (NEPA), of the proposed project. Proposals should provide enough detail for NOAA to make a NEPA determination prior to funding.)

Please provide the following information (if not already documented in the application):

1. Locations, sites, species and habitat to be affected
2. Possible construction/deconstruction activities
3. Any environmental concerns that may exist  
(*e.g., the use and disposal of hazardous or toxic chemicals, introduction of non-indigenous species, impacts to endangered and threatened species, and the presence of historic structures*)

D. Other relevant government contacts (*name, title, phone*)

## VII. **BUDGET**

A. Total project budget (*Please give detailed itemized account of all project expenditures*)

B. AR-NOAA funds requested (*Please give detailed itemized account of what funds will specifically be used for*)

C. Other federal funds – both requested and received (*Please indicate status*)

D. Matching (non-federal) funds – both requested and received (*Please indicate status and how much will be committed as match for this grant*)

Please use this chart as an **example** of a budget spreadsheet (continues on next page):

Budget Item	Total Project Budget	AR NOAA Request	Other Federal Funds (Received)	Matching Non-Federal
<b>PERSONNEL COSTS</b>				
Salaries	25,000			25,000 – state x
Benefits	4,500			4,500 – state x
Volunteer Time and Coordination	3,000			3,000 Volunteer time
Contractual Services	33,800	15,000		18,800 – state x
Equipment operator 160 hrs @ \$40/hr				
Field staff supervision & support 160 hrs @\$35/hr				
160 hrs @ \$15/hr				
Sign production and installation				

<b>OPERATING COSTS</b>				
Equipment Rental	11,100	5,000		6,100 – state x
<b>X. Trucking</b>	10,200	2,500	7,700 Federal grant x	
<b>XI. 350 tons of boulders</b>	9,400		9,400 Federal grant x	
<b>XII. Mobilization/demobilization</b>	7,900		7,900 Federal grant x	
<b>XIII. Field Equipment Supplies</b> 3 Piezometers - \$600, Laser level - \$1,000, Flow gauge - \$2,000, Staff plate, Hobo temps., Re-vegetation and erosion control supplies, Safety and hazardous control equipment, Misc. monitoring equipment.	10,000			10,000 – state x
<b>XIV. Permits</b>	2,000			2,000 – state x
<b>XV. Contingency (10% of operating costs)</b>	6,200			6,200 – state x
<b>XVI. Administrative Costs</b>	8,700	2,500		6,200 – state x
<b>XVII. Total</b>	<b>\$131,800</b>	<b>\$25,000</b>	<b>25,000</b>	<b>\$81,800</b>

#### **VIII. ATTACHMENTS**

- A. Letter of concurrence from owner of dam or barrier (*if owner is not applicant*)
- B. Maps with project location and other barriers on stream indicated
- C. Photographs (*electronic: .jpg version preferred*)
- D. Design plans (*if completed*)
- E. Letters of support (*optional*)
- F. Other

#### **SUBMIT PROPOSALS TO:**

Serena S. McClain  
American Rivers  
1025 Vermont Ave., NW, Suite 720  
Washington, DC 20006

**email: [rivergrants@amrivers.org](mailto:rivergrants@amrivers.org)**